

Docket No. 50364-04USPX

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
EO/US

Applicant(s) for EO/US: Fredrik LIDÉN; and
Magnus ÖBERG

U.S. Serial No.: (Not Yet Assigned)
U.S. Filing Date: (Herewith)

International Application No. PCT/EP99/05630
International Filing Date: 05 August 1999

Priority Date: 14 August 1998
Priority Application: EP 98 115 369.5

Title of Invention: WAVELENGTH CONTROL IN OPTICAL
WDM SYSTEMS

Box DO/EO/US
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

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I hereby certify that this paper, including the documents referred to therein, or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231

Type or Print Name

Sara J. Morrison

Signature

Sara J. Morrison

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
BEFORE MAILING DATE OF FIRST OFFICIAL ACTION [37 C.F.R. § 1.97(b)]

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicants submit herewith patent documents of which they are aware and that they believe may be material to the patentability of this application, and for which there therefore may be a duty to disclose. These documents are being submitted in accordance with 37 C.F.R. § 1.97(b).

This Information Disclosure Statement is being transmitted before receipt of the first Official Action. Thus, it is believed that no fee is required. However, the Commissioner is

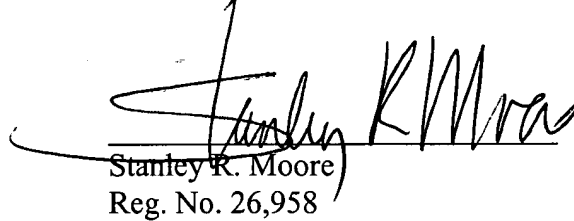
hereby authorized to credit and/or charge our Deposit Account No. 10-0447 for any overpayment and/or fee deficiency which may be required in connection with this application. A duplicate copy of this sheet is enclosed.

The filing of this Information Disclosure Statement shall not be construed as an admission that the information cited is, or is considered to be, material to patentability, or that no other material or information exists. Applicants hereby expressly reserve the right to swear behind the effective dates of any of the references. Applicants further reserve the right to question the relevance and materiality of the references in whole, in part or in combination subsequent to the filing of this statement.

Disclosed on the attached form PTO-1449 is a listing of the prior art references. The Examiner is respectfully requested to consider each of the cited references and, after such consideration, initial the enclosed Form PTO-1449 in the spaces provided next to each reference. Please return a copy of the initialed Form PTO-1449 with the next communication transmitted to the Applicants.

Legible copies of the items listed in Form PTO 1449 accompany this Information Disclosure Statement. Any markings, underlining, notations or the like present in any reference are not to be construed as drawing the Examiner's attention to or away from other parts or portions of the reference.

Respectfully submitted,


Stanley R. Moore
Reg. No. 26,958

Submitted Herewith:

Completed Form PTO-1449 (1 page)
Copies of 8 Documents Cited in Form PTO-1449

Dated: February 13, 2001

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09/762996

JC02 Rec'd PCT/PTO Sheet 1 of 13 FEB 2001

Form PTO-1449 Modified List of Patents and Publications Cited by Applicant (Use several sheets if necessary) U.S. Patent Department of Commerce Patent and Trademark Office				Docket No.: 50364-04USPX		Serial No.: (Not Yet Known)	
				Applicants: Fredrik LIDÉN et al.			
				Filing Date: (Herewith)		Group: (Not Yet Known)	
U.S. PATENT DOCUMENTS							
Examiner Initial	Cite Code	Document No.	Date	Name	Class	Subclass	
	A1	5,673,129	Sept. 30, 1997	Mizrahi	359	124	
	A2	5,793,910	August 11, 1998	Derr	385	24	
FOREIGN PATENT DOCUMENTS							
Examiner Initial	Cite Code	Document No.	Date	Country	Translation		
					Yes	No	
	B1	07 095159 A	April 7, 1995	JP	Abstract		
	B2	07 162070 A	June 23, 1995	JP	Abstract		
	B3	09 093223 A	April 4, 1997	JP	Abstract		
OTHER DOCUMENTS							
Examiner Initial		Author, Title, Date, Pertinent Pages, Etc.					
	C1	International Search Report relating to PCT/EP99/05630; Dated: October 25, 2000					
	C2	Written Opinion relating to PCT/EP99/05630; Dated: May 9, 2000					
	C3	European Search Report relating to Priority Application EP 98115 369.5 for PCT/EP99/05630; Dated: December 18, 1998					
EXAMINER:				DATE CONSIDERED:			